

In re application of

Confirmation No. 7745

Shoriki NARITA et al.

Docket No. 2003-1226

Serial No. 10/651,103

Group Art Unit 2811

Filed August 29, 2003

Examiner Not Yet Assigned

BUMP FORMING APPARATUS FOR CHARGE APPEARANCE SEMICONDUCTOR SUBSTRATE, CHARGE REMOVAL METHOD FOR CHARGE APPEARANCE SEMICONDUCTOR SUBSTRATE, CHARGE REMOVING UNIT FOR CHARGE APPEARANCE SEMICONDUCTOR SUBSTRATE, AND CHARGE APPEARANCE SEMICONDUCTOR SUBSTRATE

THE COMMISSIONER IS AUTHOR!
TO CHARGE ANY DEFICIENCY FEES FOR THIS PAPER TO DE, ACCOUNT NO. 23-0975

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each foreign reference listed on the form PTO-1449 is enclosed. (As the application was filed after July 1, 2003, copies of the U.S. references have not been submitted).

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the aboveentitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
  - a. [] that each item of information contained in this Information Disclosure

    Statement was first cited in any communication from a foreign patent office in a

    counterpart foreign application not more than three months prior to the filing of
    the Statement, or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

- 4. [X] For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  a. [] a full or partial English language translation submitted herewith,
  b. [] a foreign patent office search report (in the English language) submitted
  - b. [] a foreign patent office search report (in the English language) submitted herewith,
  - c. [] the concise explanation contained in the specification of the present application at page,
  - d. [X] the concise explanation set forth in the attached English language abstract,
  - e. [] the concise explanation set forth below or on a separate sheet attached to the reference:
- 5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Shoriki NARITA et al.

W. Douglas Hahn

Registration No. 44,142 Attorney for Applicants

WDH/gtg Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 January 8, 2004

Sheet 1 of 1								
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE				ATTY DOCKET NO. 2003-1226 SERIAL NO. 10/651,103			OIPE	617
PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)			APPLICANT Shoriki NARITA et al.		0	JAN 0 8 2004 E		
(Use several sheets if necessary)  Date Submitted to PTO: January 8, 2004			FILING DATE GROUP August 29, 2003 2811			JAN 0 8 2004 B		
			U.	S. PATENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
, ·	AA	6,600,137	07/2003	Nonomura et al.				
	AB	6,056,191	05/2000	Brouillette et al.				
	AC	5,601,229	02/1997	Nakazato et al.				
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	AE							
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	1		FORE	IGN PATENT DOCUMENTS		-		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	SS TRANSLATION YES NO	
	AJ	402203180 A	08/1990	JP			Abstract	
·	AK	•						
	AL							
	AM							
·	AN							
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AO							
	АР							
	AQ							
EXAMINER				DATE CONSIDERED				